

Express mail certificate number EV 487108636 US dated 14 December 2005

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 1-17024
INTERNATIONAL APPLICATION NO. PCT/EP2003/012014	INTERNATIONAL FILING DATE 29 October 2003 (29.10.2003)	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/533,606
TITLE OF INVENTION METHOD FOR PRODUCING A THREE-DIMENSIONAL PREFORM		PRIORITY DATE CLAIMED October 31, 2002 (31.10.2002)
APPLICANT(S) FOR DO/EO/US PAUL JOERN		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. (combined with Declaration) 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/533,606	INTERNATIONAL APPLICATION NO. PCT/EP2003/012014	ATTORNEY'S DOCKET NUMBER 1-17024																		
20. Other items or information: Return receipt card; copy of 371 Formalities Letter																				
The following fees have been submitted		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:60%;">CALCULATIONS</th> <th style="width:40%;">PTO USE ONLY</th> </tr> </table>	CALCULATIONS	PTO USE ONLY																
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21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:60%;">\$</td> <td style="width:40%;"></td> </tr> </table>	\$																	
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22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations.....\$200		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:60%;">\$</td> <td style="width:40%;"></td> </tr> </table>	\$																	
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23. <input type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations.....\$500		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:60%;">\$</td> <td style="width:40%;"></td> </tr> </table>	\$																	
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<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:60%;"></td> <td style="width:40%;"></td> </tr> </table>																		
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:20%;">Total Sheets</th> <th style="width:20%;">Extra Sheets</th> <th style="width:40%;">Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th style="width:20%;">RATE</th> </tr> <tr> <td>- 100 =</td> <td>/50 =</td> <td></td> <td>x \$250</td> </tr> </table>	Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	- 100 =	/50 =		x \$250	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:60%;">\$</td> <td style="width:40%;"></td> </tr> </table>		\$									
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Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:60%;">\$ 130.00</td> <td style="width:40%;"></td> </tr> </table>	\$ 130.00																	
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<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:60%;"></td> <td style="width:40%;"></td> </tr> </table>																		
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Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:60%;">\$ 130.00</td> <td style="width:40%;"></td> </tr> </table>	\$ 130.00																	
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:60%;">\$ 40.00</td> <td style="width:40%;"></td> </tr> </table>	\$ 40.00																	
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12/19/2005 ATRAN1 00000052 10533605
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02 FC:1618 130.00 OP

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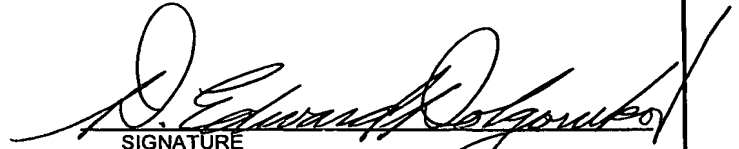
- a. ☒ A check in the amount of \$ 300.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-1816. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer Number 001678
Marshall & Melhorn, LLC
Four SeaGate - 8th Floor
Toledo, Ohio 43604

Phone: (419) 294-7146
Fax: (419) 249-7151



SIGNATURE

D. EDWARD DOLGORUKOV

NAME

26,266

REGISTRATION NUMBER

CERTIFICATE UNDER 37 CFR 1.10 OF MAILING BY "EXPRESS MAIL"

"Express Mail" Label Number EV 487108636 US

Date of Deposit December 14, 2005

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Kathleen J. Moore

Signature of person mailing correspondence

Kathleen J. Moore

Typed name of person mailing correspondence

RESPONSE TO NOTICE OF MISSING REQUIREMENTS UNDER 35 USC 371
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. Application Number 10/533,606

International Appln. No. PCT/EP2003/012014 filed 29 October 2003

Earliest Priority Date Claimed: 31 October 2002

Title: Method for Producing a Three-Dimensional Preform

Inventor: Paul Joern

Assignee: Fraunhofer-Gesellschaft zur Foerderung der angewandten
Forschung e.V.

Attorneys: Marshall & Melhorn, LLC

Attorney Docket No: 1-17024

1-17024



UNITED STATES PATENT AND TRADEMARK OFFICE

Rec'd 14 DEC 2005

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/533,606	Paul Joern	

INTERNATIONAL APPLICATION NO.	
PCT/EP03/12014	
I.A. FILING DATE	PRIORITY DATE
10/29/2003	10/31/2002

1678
 MARSHALL & MELHORN
 FOUR SEAGATE, EIGHT FLOOR
 TOLEDO, OH 43604

CONFIRMATION NO. 4084

371 FORMALITIES LETTER



OC000000017146331

Date Mailed: 11/16/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/29/2005
- Copy of the International Search Report filed on 04/29/2005
- Oath or Declaration filed on 04/29/2005
- Copy of references cited in ISR filed on 04/29/2005
- U.S. Basic National Fees filed on 04/29/2005
- Priority Documents filed on 04/29/2005

RECEIVED

NOV 23 2005

MARSHALL & MELHORN, LLC

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Processing fee for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(i)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$260** for a Large Entity:

- **\$130** Surcharge.
- **\$130** for English translation surcharge required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/533,606	PCT/EP03/12014	

Rec 14 DEC 2005

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Kathleen J. Moore
(Signature of person mailing correspondence)

Kathleen J. Moore
(Typed name of person mailing correspondence)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:]	
PAUL JOERN]	Group Art Unit:
]	
Application No. 10/533,606]	
Filing Under 35 U.S.C. 371 in DO/EO/US of]	Examiner:
PCT/EP2003/012014 filed 29 October 2003]	
]	
For: METHOD FOR PRODUCING]	Attorney Docket 1-17024
A THREE-DIMENSIONAL PREFORM]	

December 14, 2005

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

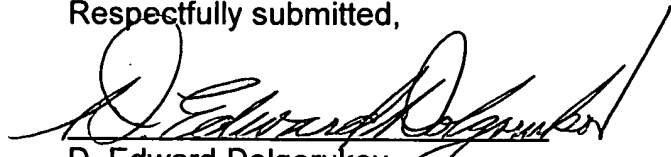
In response to the "Notification of Missing Requirements Under 35 U.S.C. 371 in
the United States Designated/Elected Office (DO/EO/US)" dated November 16, 2005
(copy attached), enclosed is the fully executed Combined Declaration and Power of
Attorney document and an English translation of the subject application.

Also enclosed is an Assignment document from the inventor, Paul Joern, to
Fraunhofer-Gesellschaft zur Foerderung der angewandten Forschung e.V. for
recording.

A check in the amount of \$300.00 is enclosed to cover the requisite fees:
\$130.00 surcharge for late filing of the Declaration; \$130.00 surcharge for late filing of
the English translation; and \$40.00 for recordation of the Assignment.

Please charge any additional fees due or credit any overpayment to Deposit
Account No. 13-1816.

Respectfully submitted,



D. Edward Dolgorukov
Registration No. 26,266

ATTORNEYS
MARSHALL & MELHORN, LLC
Four SeaGate - 8th Floor
Toledo, Ohio 43604

Customer Number 001678
Phone: (419) 294-7146
Fax: (419) 249-7151